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PTO-1390 (Rev. 10-2004)
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| TRANSMITTAL LETTER TO  | ATTTORNEY'S DOCKET NUMBER O-2002.735 US  |   |  |  |  |  |  |  |  |
|--|--|---|--|--|--|--|--|--|--|
| DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371   |  | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) To Big Assignee |  |  |  |  |  |  |  |
| INTERNATIONAL APPLICATION NO.<br>PCT/EP2003/050226   | INTERNATIONAL FILING DATE<br>13-June-2003  | PRIORITY DATE CLAIMED<br>21-June-2002                           |  |  |  |  |  |  |  |
| TITLE OF INVENTION   | INVENTION 1-[(INDOL-3-YL)CARBONYL]PIPERAZINE DERIVATIVES   |   |  |  |  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US COWLEY, Phillip et al.   |  |   |  |  |  |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:                                      |  |   |  |  |  |  |  |  |  |
| This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.   |  |   |  |  |  |  |  |  |  |
| 2. This is a <b>SECOND</b> or <b>SUBSEQUENT</b> s  | This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.                                  |   |  |  |  |  |  |  |  |
| 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. |  |   |  |  |  |  |  |  |  |
| 4. X The US has been elected (Article 31).   | The US has been elected (Article 31).  |   |  |  |  |  |  |  |  |
| 5. X A copy of the International Application   | A copy of the International Application as filed (35 U.S.C. 371(c)(2))   |   |  |  |  |  |  |  |  |
| a. is attached hereto (required  | a. is attached hereto (required only if not communicated by the International Bureau).   |   |  |  |  |  |  |  |  |
| b. x has been communicated by  | b. x has been communicated by the International Bureau.  |   |  |  |  |  |  |  |  |
| c. is not required, as the appli   | c. is not required, as the application was filed in the United States Receiving Office (RO/US).  |   |  |  |  |  |  |  |  |
| 6. An English language translation of th   | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).   |   |  |  |  |  |  |  |  |
| a. is attached hereto.   | a. is attached hereto.   |   |  |  |  |  |  |  |  |
| b. has been previously submi   | b. has been previously submitted under 35 U.S.C. 154(d)(4).  |   |  |  |  |  |  |  |  |
| 7. Amendments to the claims of the Inte  | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))   |   |  |  |  |  |  |  |  |
| a. are attached hereto (requi  |  |   |  |  |  |  |  |  |  |
| b. have been communicated  |  |   |  |  |  |  |  |  |  |
| c. have not been made; how   | c. have not been made; however, the time limit for making such amendments has NOT expired.   |   |  |  |  |  |  |  |  |
| d. 💌 have not been made and  | d. X have not been made and will not be made.  |   |  |  |  |  |  |  |  |
| 8. An English language translation of the  | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).                                    |   |  |  |  |  |  |  |  |
| 9. An oath or declaration of the inventor  | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).   |   |  |  |  |  |  |  |  |
| 10. An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).   | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). |   |  |  |  |  |  |  |  |
| Items 11 to 20 below concern document(s  | s) or information included:  |   |  |  |  |  |  |  |  |
| 11. X An Information Disclosure Statement  | under 37 CFR 1.97 and 1.98.  |   |  |  |  |  |  |  |  |
| 12. X An assignment document for recording   | ng. A separate cover sheet in compliance wi  | th 37 CFR 3.28 and 3.31 is included.                            |  |  |  |  |  |  |  |
| 13. A preliminary amendment.   | A preliminary amendment.   |   |  |  |  |  |  |  |  |
| 14. An Application Data Sheet under 37   | An Application Data Sheet under 37 CFR 1.76.   |   |  |  |  |  |  |  |  |
| 15. A substitute specification.  |  |   |  |  |  |  |  |  |  |
| 16. A power of attorney and/or change of   | f address letter.  |   |  |  |  |  |  |  |  |
|  | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.                                  |   |  |  |  |  |  |  |  |
| 18. X A second copy of the published Inter   | A second copy of the published International Application under 35 U.S.C. 154(d)(4).  |   |  |  |  |  |  |  |  |
|  | A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).                                  |   |  |  |  |  |  |  |  |
| 20. X Other items or information: Cited F  | Other items or information: Cited References   |   |  |  |  |  |  |  |  |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the complete form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 2

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|---|--|--|-----------------------------|------------|--------------------|---|--|--|
| To Be A   | BS/95482                               | 37 CFR 1.5) <b>79</b>  | INTERNATIONAL<br>PCT/EP2003 | APPLIC     | ATION NO.          | ATTORNEYS DO  | CKET NUMBER                                      |  |
|   | ing fees are submitted:                | <del></del>  |                             |            | <del></del>        | <del> </del>  | T  |  |
|   | ational fee                            |  |                             |            |                    | \$ 300.00   | <del>                                     </del> |  |
| b) Examin   | ation fee                              | ••••••   | •••••                       |            | \$200.00           | \$ 200.00   |  |  |
| -   | fee                                    |  |                             |            |                    | \$ 500.00   |  |  |
| 4 1 404   | TOTAL OF ABOVE CA                      | LCULATION  | S =                         |            | \$1000.00          | \$1000.00   |  |  |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. |  |  |                             |            |                    |   |  |  |
| Total Sheets  | Extra sheets                           | Number of each additional 50 or fraction RATE thereof (round up to a whole number) |                             |            | 1                  |   |  |  |
| 38 -100=  | /50 =                                  |  |                             |            | x \$250.00         | \$ -0-  |  |  |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).  |  |  |                             |            | .\$                |   |  |  |
| CLAIMS  | NUMBER FIL                             | ED   | NUMBER EXTRA                |            | RATE               | \$  | <del></del>                                      |  |
| Total daims   | 9                                      | - 20 =   |                             | ×          | \$50.00            | \$ -0-  |  |  |
| Independent clair   |  | -3=  | -0-                         | ×          | \$200.00           | \$ <u>-</u> 0-  |  |  |
| MULTIPLE DEPE   | NDENT CLAIM(S) (if app                 | plicable)  |                             | +          | \$360.00           | \$  |  |  |
| TOTAL OF ABOVE CALCULATIONS =   |  |  |                             |            | \$ 1000.00         | .1  |  |  |
| Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced \$  |  |  |                             |            |                    |   |  |  |
| SUBTOTAL =  |  |  |                             | \$ 1000.00 |                    |   |  |  |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).   |  |  | \$                          |            |                    |   |  |  |
| TOTAL NATIONAL FEE =  |  |  |                             | \$ 1000.00 |                    |   |  |  |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property  |  |  |                             | \$ 40.00   |                    |   |  |  |
| TOTAL FEES ENCLOSED =   |  |  |                             |            | NCLOSED =          | \$ 1040.00  |  |  |
|   |  |  |                             |            |                    | Amount to be refunded:  | \$   |  |
|   |  |  |                             |            | Amount to be       | \$1040.00   |  |  |
| a. A check  | in the amount of \$                    |  | to cover the ab             | Ove face   | is coolered        | charged:  | 12040100   |  |
| a. A check in the amount of \$ to cover the above fees is enclosed.  b. X Please charge my Deposit Account No.02 - 2334 in the amount of \$\frac{1040.00}{2000}\$ to cover the above fees.  |  |  |                             |            |                    |   |  |  |
| The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 02 – 2334A duplicate copy of this sheet is enclosed.  |  |  |                             |            |                    |   |  |  |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.                      |  |  |                             |            |                    |   |  |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.                                    |  |  |                             |            |                    |   |  |  |
|   |  | .,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,  | , have 12 arrange           |            |                    | 4   | 1-1  |  |
| William M. Blackstone  William M. Blackstone  |  |  |                             |            |                    |   |  |  |
| William M. Blackstone Akzo Nobel Pharma Patent Dept.  SIGNATURE   |  |  |                             |            |                    |   |  |  |
| O Box 318 Mark W. Milstead  |  |  |                             |            |                    |   |  |  |
| [illsboro DE 19966-0318 NAME  |  |  |                             |            |                    |   | <del></del>                                      |  |
| 302) 934  | 302) 934-4395/Fax: 302-934-4305 45,825 |  |                             |            |                    |   |  |  |
|   | REGISTRATION NUMBER                    |  |                             |            |                    |   |  |  |

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